

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/975,169	ATTY. DOCKET NO.: P0743.70001.US
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: October 11, 2001	CONFIRMATION NO.: 9099
		APPLICANT: Erik R. DEUTSCH, et al.	
		GROUP ART UNIT: 2871	EXAMINER: CHERRY, EUNCHA P.
Sheet			

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	1	10/090,380		Elmer S. Hung, et al.	03-04-2002 Filing Date of Application
	2	10/090,381		Stephen D. Senturia	03-04-2002 Filing Date of Application

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

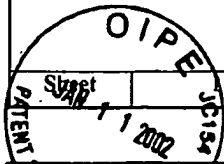
EXAMINER	Cherry	DATE CONSIDERED	9/20/04
----------	--------	-----------------	---------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/975,169	ATTY. DOCKET NO.: P0743/7001
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: October 11, 2001	
		APPLICANT: Deutsch et al.	
		GROUP ART UNIT: 2872	EXAMINER: Not Yet Assigned
of 2			



#### U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
[Signature]		5,311,360		Bloom et al.	05-10-1994
		5,459,610		Bloom et al.	10-17-1995
		5,661,592		Bornstein et al.	08-26-1997
		5,677,783		Bloom et al.	10-14-1997
		5,745,271		Ford et al.	04-28-1998
		5,757,536		Ricco et al.	05-26-1998
		5,808,797		Bloom et al.	09-15-1998
		5,841,579		Bloom et al.	11-24-1998
		5,920,418		Shiono et al.	07-06-1999
		5,949,570		Shiono et al.	09-07-1999

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
[Signature]		WO	01/11419	A2	Godil et al.	15-02-2001	--

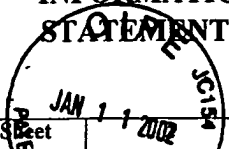
#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume, issue number(s), publisher, city and/or country where published.	Translation (Y/N)
[Signature]		Solgaard et al., "Deformable grating optical modulator," <u>Optics Letters</u> , Vol. 17, No. 9, May 1, 1992, pp. 688-690.	
[Signature]		Goossen et al., "Silicon Modulator Based on Mechanically-Active Anti-Reflection Layer with 1 Mbit/sec Capability for Fiber-in-the-Loop Applications," <u>IEEE Photonics Technology Letters</u> , Vol. 6, No. 9, September 1994, pgs. 1119-1121.	
[Signature]		Ford et al., "Dynamic Spectral Power Equalization Using Micro-Opto-Mechanics," <u>IEEE Photonics Technology Letters</u> , Vol. 10, No. 10, October 1998, pgs. 1440-1442.	

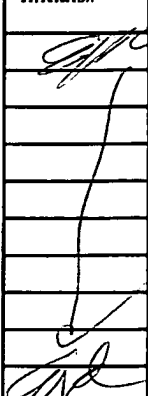
EXAMINER <i>Chen</i>	DATE CONSIDERED <i>9/20/04</i>
----------------------	--------------------------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/975,169	ATTY. DOCKET NO.: P0743/7001
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: October 11, 2001	
		APPLICANT: Deutsch et al.	
		GROUP ART UNIT: 2872	EXAMINER: Not Yet Assigned
Sheet 1 of 2			

#### U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		5,991,079		Furlani et al.	11-23-1999
		5,999,319		Castracane	12-07-1999
		6,061,166		Furlani et al.	05-09-2000
		6,169,624	B1	Godil et al.	01-02-2001
		6,172,796	B1	Kowarz et al.	01-09-2001
		6,188,519	B1	Johnson	02-13-2001
		6,215,579	B1	Bloom et al.	04-10-2001
		6,268,952	B1	Godil et al.	07-31-2001
		6,329,738	B1	Hung et al.	12-11-2001

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			

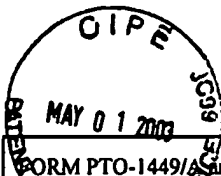
#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume, issue number(s), publisher, city and/or country where published.	Translation (Y/N)

EXAMINER <i>Cherry</i>	DATE CONSIDERED <i>9/20/04</i>
------------------------	--------------------------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).



FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/975,169	ATTY. DOCKET NO.: P00743.70001.US
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: October 11, 2001	CONFIRMATION NO.: 9099
		APPLICANT: Erik R. Deutsch, et al.	
		GROUP ART UNIT: 2871	EXAMINER: Not Yet Assigned
Sheet		of	

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
<i>gpc</i>	1	6,251,842	B1	Gudeman	06/26/2001
	2	6,141,139		Furlani et al.	10/31/2000
	3	6,108,117		Furlani et al.	08/22/2000
	4	6,004,912		Gudeman	12/21/1999
	5	5,233,456		Nelson	08/03/1993
	6	5,202,785		Nelson	04/13/1993
	7	4,252,697		Hashizume et al.	02/24/1981

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
<i>gpc</i>	8	EP	1 014 143	A1	Eastman Kodak Company	06/28/2000	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

RECEIVED  
MAY -2 2003  
TECHNOLOGY CENTER 2800

EXAMINER <i>Cherry</i>	DATE CONSIDERED <i>9/20/04</i>
---------------------------	-----------------------------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).